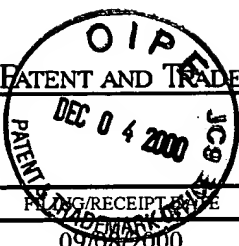




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILED/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|--------------------|-----------------------|------------------------|
| 09/657,497         | 09/08/2000         | John Selby Unite      | JP920000171US1         |

Louis P Herzberg  
IBM Corporation I P Law Dept  
T J Watson Research Center  
P O Box 218  
Yorktown Heights, NY 10598

## FORMALITIES LETTER



\*OC000000005493123\*

Date Mailed: 10/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2000 KZENDIE 00000035 090468 09657497

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination  
Unite et al. : Division  
Box Missing Parts

Serial No.: 09/657, 1997 : Date: November 30, 2000

Filed: September 8, 2000 :  
PATENT & TRADEMARK OFFICE

For: Build And Operate Program Process Framework and Execution

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class  
mail in an envelope addressed to:

Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, DC 20231

on November 30, 2000

Barbara A. Miuccio  
(Print name of person certifying)

Barbara A. Miuccio  
(Signature of person certifying)

Docket JP920000171US1

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of : Initial Patent Examination  
: Division  
Unite et al. : Box Missing Parts  
:   
Serial No.: 09/657,497 : Date: November 30, 2000  
Filed: September 8, 2000  
For: Build And Operate Program Process Framework and Execution

**FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63  
FILING DECLARATION AND POWER OF ATTORNEY  
FILING ASSIGNMENT**

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

Sir:

On September 8, 2000, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

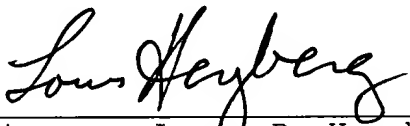
Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit

Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed October 23, 2000, is also attached as per your instructions.

Respectfully submitted,  
Attorney for the Applicants

by   
Attorney: Louis P. Herzberg  
Reg. No. 41,500  
Tel. (914) 945-2885

IBM Thomas J. Watson Research Center  
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Attorney Docket: JP920000171US1